

01-24-01

EL764085351

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No. ....08/854,102  
 Priority Filing Date .....5/8/1997  
 Inventor ..... Vong et al.  
 Applicant ..... Microsoft Corporation  
 Priority Group Art Unit.....278  
 Priority Examiner ..... C. Shin  
 Attorney's Docket No. ....MS1-155USC3  
 Title: Handheld Computing Device With External Notification System

DIVISIONAL PATENT APPLICATION TRANSMITTAL

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)  
 Lee & Hayes, PLLC  
 421 W. Riverside Avenue, Suite 500  
 Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal Letter with Certificate of Mailing included.
2. Fee Transmittal
3. Check in the Amount of \$710.00
4. PTO Return Postcard Receipt
5. Request for Divisional Application Under 37 C.F.R. 1.53 (b)
6. True copy of the prior application as filed including the specification (26 pages, claims 1-39, Abstract & Appendix A), 6 sheets drawings (Figs. 1-7)
7. Copy of Executed Declaration
8. Preliminary Amendment
9. Information Disclosure Statement, including form PTO-1449
10. Substitute Drawing Request
11. 1 Sheet Substitute Drawings (Figs. 2A, 2B, 2C)
12. Copy of Assignment

Large Entity Status ☒ [x]

Small Entity Status ☐ [ ]

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application processing fees under 37 CFR 1.17; and any additional filing fees under 37 CFR 1.16 for the presentation of extra claims.

1  
2 Date: Jan. 22, 2001

By: *LCL*

Lewis C. Lee  
Reg. No. 34,656

3  
4 **CERTIFICATE OF MAILING**

5 I hereby certify that the items listed above as enclosed are being deposited with the  
6 U.S. Postal Service as either first class mail, or Express Mail if the blank for Express  
7 Mail No. is completed below, in an envelope addressed to The Commissioner of Patents  
8 and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express  
9 Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) EL764085351

10 Date: 1/22/2001

By: *Cheryl K Boies*  
Cheryl K. Boies

EL764085351

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 710.00

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

Vong et al.

MSI-1.55 USC 3

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

12-0769

Deposit  
Account  
Name

Lee &amp; Hayes PLLC

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
101	710	201	355		Utility filing fee	710
106	320	206	160		Design filing fee	
107	490	207	245		Plant filing fee	
108	710	208	355		Reissue filing fee	
114	150	214	75		Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
9	-20** = 0	X 0	= 0
1	-3** = 0	X 0	= 0
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
103	18	203	9		Claims in excess of 20	
102	80	202	40		Independent claims in excess of 3	
104	270	204	135		Multiple dependent claim, if not paid	
109	80	209	40		** Reissue independent claims over original patent	
110	18	210	9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for ex parte reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1,390	218	695		Extension for reply within fourth month	
128	1,890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,240	241	620		Petition to revive - unintentional	
142	1,240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Processing fee under 37 CFR 1.17(q)	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

**SUBMITTED BY**

Name (Print/Type)

Lewis C. Lee

Registration No.  
(Attorney/Agent)

34,656

**Complete (if applicable)**

Telephone

509-324-9256

Signature

[Signature]

Date

Jan. 22, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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LEE & HAYES, P.L.L.C.  
INTELLECTUAL PROPERTY LAW  
IOLTE TRUST ACCOUNT  
421 W. RIVERSIDE STE 500 509-324-9256  
SPOKANE, WA 99201

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DATE 1/22/2001

PAY TO THE  
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FOR Filing Fee MS1-155USC3

Chief K. Boies

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MS1-155USC3

Microsoft Corporation

1/22/2001

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the documents enumerated below, relating to the following application for letters patent:

Title: "Handheld Computing Device With External Notification System"

Inventorship: Vong et al.

1. Transmittal Letter with Certificate of Mailing included.
2. Fee Transmittal
3. Check in the Amount of \$710.00
4. PTO Return Postcard Receipt
5. Request for Divisional Application Under 37 C.F.R. 1.53 (b)
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LEE & HAYES, PLLC  
(509) 324-9256

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No. ....08/854,102  
Priority Filing Date .....5/8/1997  
Inventor ..... Vong et al.  
Applicant ..... Microsoft Corporation  
Priority Group Art Unit.....2782  
Priority Examiner ..... C. Shin  
Attorney's Docket No. ....MS1-155USC3  
Title: Handheld Computing Device With External Notification System

**REQUEST FOR DIVISIONAL APPLICATION****UNDER 37 C.F.R. 1.53(b)**

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

From: Lewis C. Lee(Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

This is a request for filing a Divisional application under 37 CFR §1.53(b) of Application Serial No. 08/854,102 which was filed 5/8/1997 and is entitled "Handheld Computing Device With External Notification System." The prior application was submitted in the names of Vong et al.. The original declaration showing their addresses is also enclosed.

The prior application was a complete application as set forth in 37 CFR §1.51(a). The application papers being filed herewith are a true copy of the prior application as filed including the specification (with claims), drawings, oath or

1 declaration showing the signature(s), and any amendments referred to in the oath or  
2 declaration to complete the prior application. No amendments referred to in the oath  
3 or declaration filed to complete the prior application introduced new matter therein.  
4 No abandonment or termination of proceeding has occurred in the above-identified  
5 prior application.

6  
7 The filing date of pending application Serial No. 08/854,102 is hereby  
8 claimed under 35 U.S.C. §120.

9  
10 A preliminary amendment is enclosed.

11  
12 A check is enclosed to pay fees under 37 CFR §1.16 and §1.17 associated  
13 with this communication.


14  
15 A previous assignment to Microsoft Corporation has been executed by the  
16 inventors in the prior application, and is applicable to the present application. A  
17 copy of the assignment is enclosed herewith.

18  
19 A previous power of attorney in the prior application is given to Lewis C.  
20 Lee, Reg. No. 34,656, Daniel L. Hayes, Reg. No. 34,618. Please send  
21 correspondence to: LEE & HAYES, PLLC, 421 W. Riverside Avenue, Suite 500,  
22 Spokane, Washington, 99201. Direct telephone calls to: Lewis C. Lee (509) 324-  
23 9256.

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Respectfully Submitted,

Dated: Jun. 22, 2001

By:   
Lewis C. Lee  
Reg. No. 34,656

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No. ....08/854,102  
 Priority Filing Date .....5/8/97  
 Inventor ..... Vong et al.  
 Applicant ..... Microsoft Corporation  
 Priority Group Art Unit .....2782  
 Priority Examiner ..... C. Shin  
 Attorney's Docket No. ....MS1-155USC3  
 Title: Handheld Computing Device With External Notification System

**SUBSTITUTE DRAWING REQUEST**

To: Drawing Review Branch  
 Commissioner of Patents and Trademarks,  
 Washington, D.C. 20231

From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)  
 Lee & Hayes, PLLC  
 421 W. Riverside Avenue, Suite 500  
 Spokane, WA 99201

Applicant submits herewith new formal drawings 2A, 2B, and 2C to correct informalities noted by the official draftsman in an attachment to the Office Action dated May 7, 1999 in the parent application. The page depicting drawings 2A, 2B, and 2C is intended to replace the page depicting drawing 2.

Respectfully Submitted,

Date: Jan. 22, 2001

By: 

Lewis C. Lee  
 Reg. No. 34656  
 (509) 324-9256